



OHIO DEPARTMENT OF HEALTH

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John R. Kasich / Governor

Theodore E. Wymyslo, M.D. / Director of Health

U.S. Department of Education
Office of Special Education Programs
Potomac Center Plaza
Mail Stop 2600, Room 4166
550 12th Street, S.W.
Washington, D.C. 20202

Dear Dr. Melody Musgrove:

Attached is Ohio's Annual Performance Report and State Performance Plan, including the Interagency Coordinating Council (ICC) Certification form signed by the co-chairs of the Council as required for the Annual Performance Report (APR).

The Annual Performance Report is for the period of July 1, 2010 to June 30, 2011.

No changes were made to the state's State Performance Plan, but a copy of the SPP submitted last year is provided as required by OSEP Memo 12-5.

Both the new APR and the existing SPP can be accessed on our website at <http://www.ohiohelpmegrow.org/aboutus/reporting.aspx>.

If you have any questions or need additional information, please contact me at 614-728-9152 or via email at wendy.grove@odh.ohio.gov.

Sincerely,

A handwritten signature in black ink that reads "Wendy Grove, Ph.D." with a stylized flourish at the end.

Wendy Grove, Ph.D.
Part C Coordinator
Ohio Department of Health

Enclosures

**ANNUAL REPORT CERTIFICATION OF THE
INTERAGENCY COORDINATING COUNCIL
UNDER PART C OF THE
INDIVIDUALS WITH DISABILITIES EDUCATION ACT (IDEA)**

Under IDEA Section 641(e)(1)(D) and 34 CFR §303.654, the Interagency Coordinating Council (ICC) of each jurisdiction that receives funds under Part C of the IDEA must prepare and submit to the Secretary of the U.S. Department of Education (Department) and to the Governor of its jurisdiction an annual report on the status of the early intervention programs for infants and toddlers with disabilities and their families operated within the State. The ICC may either: (1) prepare and submit its own annual report to the Department and the Governor, or (2) provide this certification with the State lead agency's Annual Performance Report (APR)¹ under Part C of the IDEA. This certification (including the annual report or APR) is due no later than February 1, 2012.

On behalf of the ICC of the State/jurisdiction of Ohio, I hereby certify that the ICC is: [please check one]

- 1. Submitting its own annual report (which is attached); or
- 2. Using the State's Part C APR for FFY 2010 in lieu of submitting the ICC's own annual report. By completing this certification, the ICC confirms that it has reviewed the State's Part C APR for accuracy and completeness.²

I hereby further confirm that a copy of this Annual Report Certification and the annual report or APR has been provided to our Governor.

Kimberly A. Travers
Signature of ICC Chairperson

1/3/2012
Date

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Macedonia, OH 44056

kntravers@windstream.net
Address or e-mail
330/908-3107
Daytime telephone number

¹ Under IDEA Sections 616(b)(2)(C)(ii)(II) and 642 and under 34 CFR §80.40, the lead agency's APR must report on the State's performance under its State performance plan and contain information about the activities and accomplishments of the grant period for a particular Federal fiscal year (FFY).

² If the ICC is using the State's Part C APR and it disagrees with data or other information presented in the State's Part C APR, the ICC must attach to this certification an explanation of the ICC's disagreement and submit the certification and explanation no later than February 1, 2012.

OHIO's PART C Annual Performance Report (APR)

FFY 2010 (July 1, 2010 – June 30, 2011)

February 1, 2012



Overview of the Annual Performance Report Development:

The Annual Performance Report (APR) was developed by the Ohio Department of Health, Bureau of Early Intervention Services, the lead agency for Early Intervention (EI) in Ohio. Much of the data for the APR were captured and extracted from the statewide electronic data system, Early Track (ET), as well as self-assessment reporting by the early intervention service (EIS) providers. The lead agency’s data team analyzed the data for the APR and created the data tables and summaries of the data. For some of Ohio’s FFY10 compliance analyses, EIS programs were selected for Indicator 1, Indicator 7, or Indicator 8. Ohio has chosen to use a monitoring cycle which assures an even selection of EIS programs each FY for one of the aforementioned Indicators; moreover, the monitoring cycle is designed to assure representativeness of EIS programs and children/families served with each Indicator each FY.

The various committees of the Ohio Help Me Grow (HMG) Advisory Council (Ohio’s ICC) assisted the lead agency staff in carrying out various activities and reporting on the progress of completion of those activities. Each member of the ICC was asked to provide feedback for updating the improvement activities, progress or slippage, and recommended changes for the upcoming fiscal year. The committees are co-chaired by Council members and include parents as co-chairs of some of the committees, local providers and other state agency personnel.

An email informing that the APR and existing SPP are posted to the website has been sent to all HMG Project Directors and County Family and Children First Council Coordinators, who provide oversight of Help Me Grow Early Intervention in all 88 Ohio counties. All of the Ohio Help Me Grow Advisory Council members have also received an emailed copy of the submitted APR and existing SPP.

To fulfill the public reporting requirement, Ohio’s APR and SPP have been posted on the homepage at www.ohiohelpmegrow.org under the “Features” section. After 60 calendar days, both documents will be moved from “Features” to the “Federal Reporting” page of the website located at <http://www.ohiohelpmegrow.org/aboutus/reporting.aspx>.

The performance of each county Help Me Grow program in meeting the state targets are posted on the website. In addition, the regularly scheduled monthly call will HMG Project Directors and County Family and Children First Council Coordinators will include information about these performance reports. Moreover, at the next Ohio Help Me Grow Advisory Council meeting, the report location and information will be shared with Council members. The county data will also be posted on the www.ohiohelpmegrow.org website in 2012.

Because Ohio was determined as “Needs Assistance” with its FFY 2009 state determination letter, the state’s EI lead agency must identify here the sources of technical assistance that were received and the actions Ohio took as a result of the TA received. The indicators which placed Ohio in the Needs Assistance category for FFY 2009 were: Indicators one (1) and nine (9). The table below provides the information requested:

State Determinations TA Accessed & Resulting State Actions	
Indicator 1: Timely provision of services (100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner).	
Sources of TA Accessed	Resulting State Actions
Lead Agency Administration watched the webinar “Challenges and Solutions to Recruiting & Retaining Part C Personnel”	<ul style="list-style-type: none"> • CSPD discussed strategies • Rule revision implemented some of these strategies for recruitment and retention
Lead Agency & State Partners accessed the presentation by McWilliam “Cost Models in Early Intervention”	<ul style="list-style-type: none"> • Participation in DD Council pilot study grants exploring PSP model/coaching approach to service delivery
Lead Agency & State Partners (including ICC)	<ul style="list-style-type: none"> • Lead Agency provided Part C ARRA funds

<p>accessed many <i>Case In Point</i> articles on Service Delivery models, including “Common Misperceptions about Coaching in Early Intervention”</p>	<p>to train Master Coaches to create a core group of trainers on evidence based early intervention practices which included five parents of children who participated in EI</p> <ul style="list-style-type: none"> • Discussion & recommendations led by stakeholders on service delivery models implemented into rule revision work • Communications and IFSP statewide form revisions under way to allow the implementation of new approaches to service delivery
<p>Indicator 9: Timely correction of non-compliance (100% of identified findings of noncompliance are corrected as soon as possible but in no case later than one year from identification).</p>	
<p>Sources of TA Accessed</p>	<p>Resulting State Actions</p>
<p>Lead Agency Administration accessed the 45-Day Corrective Action Plan to Address Systemic Non-Compliance template</p>	<ul style="list-style-type: none"> • Adapted the template for Ohio • Integrated the Ohio 45-Day CAP into the monitoring system process • Wrote processes for when to use the 45-Day CAP • Implemented processes with identified non-compliance programs statewide
<p>Lead Agency Administration listened to SPP/APR call and accessed the webinar slides on August 12, 2010 on “Identification and Correction of Non-Compliance in Part C”</p>	<ul style="list-style-type: none"> • Implemented the multi-step process now being used for identification of non-compliance at the EI program level • Implemented and refined the processes now in place in Ohio for verifying correction of non-compliance

Part C State Annual Performance Report (APR) for FFY 2010

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 1: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

Percent = [(# of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner) divided by the (total # of infants and toddlers with IFSPs)] times 100.

Account for untimely receipt of services, including the reasons for delays.

FFY	Measurable and Rigorous Target
2010	<i>100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs in a timely manner.</i>

Actual Target Data for FFY 2010: Twenty-nine EIS programs were scheduled to have their data for this indicator monitored for FFY 2010. Ohio used monitoring data from its data system (Early Track) to determine its percent compliance for this indicator. All children served by these EIS programs who also had services added to IFSPs during the March 2 to May 31, 2011 timeframe were examined electronically. A selection of child records was then verified to ensure accurate reporting. 1,187 of the 1,204 children examined, or 98.6 percent, were compliant, as a result of occurring within 30 days of the signed IFSP.

The 1,187 child records counted as being compliant includes 27 that were non-timely due to documented extraordinary family circumstances. These 27 child records are included in the numerator and denominator.

The 17 noncompliant child records are deemed as such for the following reasons:

- 11 (65 percent) for data/documentation errors
- 4 (24 percent) for infrequent service delivery
- 1 (6 percent) for staff oversight/error
- 1 (6 percent) for staff scheduling/availability

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2010: Though Ohio did not meet its target of 100%, 98.6 percent indicates improvement from the 94 percent compliance reported for FFY2009. Improvement can be attributed to rigorous monitoring at the state and local levels. Additionally, the EIS program reported in Ohio's FFY09 APR as not demonstrating correction of identified noncompliance for this indicator has since had correction verified. At the local level, EIS providers can self monitor using a variety of reports available in the state's electronic data system. Moreover, a staff of program consultants follows up on any identified non-compliance with on-site training, technical assistance, and site visits.

APR Template – Part C (4)

OHIO
State

Improvement Activities for Indicator 1	Timeline	Resources
<p>1. Continue to monitor this indicator via ODH's web-based data system, Early Track, and on site focused monitoring visits.</p> <p>Progress ODH conducted webcasts and conference calls for counties on the TRS compliance report and TRS Corrective Action Plan (CAP) log found in Early Track. Reports are designed for counties to monitor their compliance data.</p>	Ongoing	<ul style="list-style-type: none"> ➤ ODH data and monitoring teams and state partners ➤ Local EIS providers
<p>2. Examine service delivery across the state for timeliness and develop a plan that ensures appropriate services to infants and toddlers regardless of local service availability.</p> <p>Progress The ODH conducted a Part C program review in calendar years 2009 - 2010 including the service delivery processes in the state. Recommendations about service delivery were provided to the ODH in May 2010. Since then, the ODH has revised rule, created a work plan to implement the recommendations, and provided the ICC updates on progress toward goals.</p>	Ongoing	<ul style="list-style-type: none"> ➤ ODH ➤ North Central Regional Resource Center ➤ County Project Directors and Family and Children First Coordinators/Councils ➤ HMG Advisory Council ➤ Local EIS providers
<p>3. ODH will provide technical assistance to counties who are identified as noncompliant in this area.</p> <p>TA was provided to all counties with noncompliance in TRS. Counties identified as either significant noncompliance or continuing noncompliance received intensive TA</p>	Ongoing	<ul style="list-style-type: none"> ➤ ODH TA team ➤ State partners

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010: Updated last year's improvement activity 3 timeline (now activity 2) to reflect that the work is ongoing rather than limited to one fiscal year.

Part C State Annual Performance Report (APR) for FFY 2010

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 2: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement: Percent = [(# of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings) divided by the (total # of infants and toddlers with IFSPs)] times 100.

FFY	Measurable and Rigorous Target
2010	<i>82% of infants and toddlers with IFSPs will primarily receive early intervention services in the home or in programs for typically developing children.</i>

Actual Target Data for FFY 2010: 83.3 percent of infants and toddlers with IFSPs primarily received early intervention services in the home or programs for typically developing children. The data for this indicator differ from the Table 2 data (Report of Program Setting where Early Intervention Services Are Provided) reported to Westat by the Ohio Department of Health (ODH). This difference is due to ODH running a subsequent data extraction from its web-based data system and deciding to change the manner primary setting was calculated. Rather than simply using what the Service Coordinator reported as the primary setting, actual services listed on the IFSP were examined and a primary setting was calculated based upon which setting accounted for the most total time in overall service delivery. Using this methodology, ODH determined that 12,559 children of the total 15,071 received early intervention services in the home or in programs for typically developing children.

As the data indicates, for FFY2010, Ohio exceeded its target by 1 percent.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY2009: 83.3 percent indicates slippage from the 91 percent performance reported for FFY2009; however, Ohio still exceeded its target of 82%. This slippage is a result of the change in the manner primary setting was calculated.

Improvement Activities for Indicator 2	Timeline	Resources
1. Identify current services and service providers who are and are not providing EI services in natural environments. Identify gaps through focused monitoring and technical assistance, including minimum evaluation of IFSP justifications.	Ongoing	<ul style="list-style-type: none"> ➤ ODH ➤ DODD ➤ County Boards of DD ➤ ODE ➤ Private providers
2. Utilize information to develop an implementation plan to integrate how the state conducts service delivery within home, child care and other settings.	Ongoing	<ul style="list-style-type: none"> ➤ ODH ➤ DODD ➤ DD Council grants and grantees

APR Template – Part C (4)

OHIO
State

<p>Progress Ohio continues to move forward with the improvement activity. ODH has examined its IFSP for use by teams being trained in various methods of EI service delivery.</p>		
<p>3. Change Medicaid state plan to help finance early intervention services in non-Medicaid settings (e.g., home, day care, community settings).</p> <p>Progress The Ohio Department of Job and Family Services (ODJFS), Office of Medicaid passed new rules allowing developmental therapy services to be provided in conjunction (i.e. OT, PT, and ST) and in natural environments for children birth – 6 years of age. ODH is working more closely with ODJFS Medicaid office, areas of discussion include the use of Medicaid for developmental evaluation and assessment and service coordination.</p>	<p>FFY 2011 and FFY 2012</p>	<ul style="list-style-type: none"> ➤ ODJFS ➤ ODH ➤ Governor's Office

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010: Revisions were made to the improvement activities #1 and #2, updating language to reflect the work under way here in the state.

Part C State Annual Performance Report (APR) for FFY 2010

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 3: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

Outcomes:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/communication); and
- C. Use of appropriate behaviors to meet their needs.

Progress categories for A, B and C:

- a. Percent of infants and toddlers who did not improve functioning = [(# of infants and toddlers who did not improve functioning) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers = [(# of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it = [(# of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers = [(# of infants and toddlers who improved functioning to reach a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.
- e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers = [(# of infants and toddlers who maintained functioning at a level comparable to same-aged peers) divided by (# of infants and toddlers with IFSPs assessed)] times 100.

Summary Statements for Each of the Three Outcomes (use for FFY 2009-2010 reporting):

Summary Statement 1: Of those infants and toddlers who entered or exited early intervention below age expectations in each Outcome, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program.

Measurement for Summary Statement 1:

Percent = # of infants and toddlers reported in progress category (c) plus # of infants and toddlers reported in category (d) divided by [# of infants and toddlers reported in progress category (a) plus # of infants and toddlers reported in progress category (b) plus # of infants and toddlers reported in progress category (c) plus # of infants and toddlers reported in progress category (d)] times 100.

Summary Statement 2: The percent of infants and toddlers who were functioning within age expectations in each Outcome by the time they turned 3 years of age or exited the program.

Measurement for Summary Statement 2: Percent = # of infants and toddlers reported in progress category (d) plus [# of infants and toddlers reported in progress category (e) divided by the total # of infants and toddlers reported in progress categories (a) + (b) + (c) + (d) + (e)] times 100.

FFY	Measurable and Rigorous Target	
2010	Outcome A: Positive social-emotional skills (including social relationships)	
	1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program	60%
	2. The percent of children who were functioning within age expectations in Outcome A by the time they turned 3 years of age or exited the program	60%
	Outcome B: Acquisition and use of knowledge and skills (including early language/communication and early literacy)	
	1. Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program	60%
	2. The percent of children who were functioning within age expectations in Outcome B by the time they turned 3 years of age or exited the program	60%
	Outcome C: Use of appropriate behaviors to meet their needs	
	1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program	60%
2. The percent of children who were functioning within age expectations in Outcome C by the time they turned 3 years of age or exited the program	60%	

Actual Target Data for FFY 2010: Program entry data are collected on children six months or older through the evaluation and/or assessment process, including screenings, parent feedback and observations of the child. EIS providers collect a Child Outcome Summary Form (COSF) which was adapted for use by Ohio from the Early Child Outcome Center’s form and uses a seven (7)-point scale with ratings of six (6) and seven (7) being “comparable to same-aged peers.”

COSFs are entered electronically into Ohio’s statewide electronic data system.

A. Positive social-emotional skills (including social relationships):	Number of children	Percent of children
a. Percent of infants and toddlers who did not improve functioning	355	6.0%
b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	1,026	17.3%
c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach	587	9.9%
d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers	1,406	23.7%
e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers	2,555	43.1%
Total	N=5,929	100%

B. Acquisition and use of knowledge and skills (including early language/communication):	Number of children	Percent of children
a. Percent of infants and toddlers who did not improve functioning	369	6.2%
b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	1,024	17.3%
c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach	570	9.6%
d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers	1,457	24.6%
e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers	2,509	42.3%
Total	N=5,929	100%

C. Use of appropriate behaviors to meet their needs:	Number of children	Percent of children
a. Percent of infants and toddlers who did not improve functioning	355	6.0%
b. Percent of infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	1,006	17.0%
c. Percent of infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach	562	9.5%
d. Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers	1,414	23.8%
e. Percent of infants and toddlers who maintained functioning at a level comparable to same-aged peers	2,592	43.7%
Total	N=5,929	100%

Summary Statements	Percent of children
Outcome A: Positive social-emotional skills (including social relationships)	
1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program	59.1%
2. The percent of children who were functioning within age expectations in Outcome A by the time they turned 3 years of age or exited the program	66.8%
Outcome B: Acquisition and use of knowledge and skills (including early language/communication and early literacy)	
1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program	59.3%
2. The percent of children who were functioning within age expectations in Outcome C by the time they turned 3 years of age or exited the program	66.9%
Outcome C: Use of appropriate behaviors to meet their needs	
1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program	59.2%
2. The percent of children who were functioning within age expectations in Outcome C by the time they turned 3 years of age or exited the program	67.6%

Measurement strategies to collect data

- Who are included in the measurement? All infants and toddlers who enter the early intervention system with an IFSP that qualifies for Entry COSF Ratings* after the county has been trained on how to use the COSF to gather child outcomes.
*Children must have an IFSP in place in Ohio’s Part C program on/after six (6) months of age, and prior to thirty (30) months of age.
- What assessment / measurement tool(s) and/or other data sources will be used? The child’s IFSP team including the child’s family will use a variety of data sources to make a determination of the child’s performance level. The child’s performance will be scored using a seven (7)-point scale included on the adapted COSF originally developed by the Early Childhood Outcome Center.
- What data will be reported to the state, and how will the data be transmitted? Currently, on an ongoing basis, at entry (or IFSP review for children entering under six (6) months of age), each annual IFSP, and exit, local programs complete COSFs and related data entry into a web-based data collection system, Early Track.
- What data analysis methods will be used to determine the progress categories? ODH uses the recommended COSF to OSEP Categories Calculator provided by the Early Childhood Outcome Center.
- What criteria will be used to determine whether a child’s functioning was “comparable to same aged peers”? ODH has adapted the Early Childhood Outcome Center’s definition for “comparable to same-aged peers”, a child who has been scored as a six (6) or seven (7) on the seven (7)-point scale included on the COSF.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2010: For all three outcomes, Ohio experienced slippage on Summary Statement 1 and fell below the target of 60% and experienced slippage from FFY2009. However, Ohio was well above the target on all three outcomes for Summary Statement 2, experiencing improvement from FFY2009. Data reported under this indicator are more representative to Ohio’s program than in previous years in that we continue to see a larger proportion of exiting children have COSF data available. The decrease in SS1 and the increase in SS2 are believed to be the result of this increasing representativeness, and the relationship between SS1 and SS2. Specifically, the subset of children reported in SS2 who enrolled at age expectations and maintained at age expectations are excluded from the calculation in SS1. Therefore, with more children included in the reporting period enrolling at age expectations and maintaining at age expectations the SS2 percentage increases and the number of records which could be considered in SS1 decreases.

Improvement Activities for Indicator 3	Timeline	Resources
1. Conduct quality assurance activities with data to ensure accuracy & completeness. Support county administrators in reviewing random samples of COSFs for quality & completeness.	Ongoing	<ul style="list-style-type: none"> ➤ ODH Data, Monitoring & TA teams ➤ County Administrators ➤ ICC’s Evaluation committee
2. Analyze data summaries to look for discrepancies by county, service agency, service coordinator.	Ongoing	<ul style="list-style-type: none"> ➤ ODH Data, Monitoring & TA teams ➤ County administrators

APR Template – Part C (4)

OHIO
State

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010: No revisions made.

Part C State Annual Performance Report (APR) for FFY 2010

Monitoring Priority: Early Intervention Services In Natural Environments

Indicator 4: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Measurement:

- A. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family know their rights) divided by the (# of respondent families participating in Part C)] times 100.
- B. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs) divided by the (# of respondent families participating in Part C)] times 100.
- C. Percent = [(# of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn) divided by the (# of respondent families participating in Part C)] times 100.

FFY	Measurable and Rigorous Target
2010	<p>A. 93% of families participating in Part C who report that early intervention services have helped families know their rights.</p> <p>B. 93% of families participating in Part C who report that early intervention services have helped families effectively communicate their children's needs.</p> <p>C. 93% of families participating in Part C who report that early intervention services have helped families help their children develop and learn.</p>

Actual Target Data for FFY 2010: Three questions from the Early Childhood Outcomes Center (ECO) Family Questionnaire were adapted for Ohio and used on a survey mailed to families in order to gather data for this indicator.

- 1. To what extent has Help Me Grow helped your family know and understand your rights?
- 2. To what extent has Help Me Grow helped your family effectively communicate your child's needs?
- 3. To what extent has Help Me Grow helped your family be able to help your child develop and learn?

Each question had a scale of 1 to 7 with the following anchors:

- 1 – Help Me Grow has done a poor job of helping us . . .
- 3 – Help Me Grow has done a fair job of helping us . . .
- 5 – Help Me Grow has done a good job of helping us . . .
- 7 – Help Me Grow has done an excellent job of helping us . . .

Based on technical assistance from ECO, Ohio used responses of 5, 6, and 7 for each question to determine what families were helped by Help Me Grow in the three areas of this indicator.

Tool Used to Gather Family Outcomes Data

The Ohio Department of Health used a modified version of the Early Childhood Center's Family Outcome Questionnaire. The following modifications were made:

- Help Me Grow was substituted for Part C throughout the questionnaire as that is how families "know" Part C in Ohio.
- The OSEP questions (i.e., to what extent has Help Me Grow helped your family know and understand your rights?; to what extent has Help Me Grow helped your family effectively communicate your child's needs?; and to what extent has Help Me Grow helped your family be able to help your child develop and learn?) were the first questions on the questionnaire rather than the last questions.
- ODH used most of the other questions on the questionnaire to answer HMG Family Outcomes, but some questions were deleted (see attached HMG Family Outcomes Questionnaire).

This year, the survey produced the following data:

- 86.3 percent Know their rights:** 1,133 respondent families participating in Part C reported that early intervention services helped them know their rights divided by 1,312 respondent families participating in Part C times 100. 8 non-responses were removed from the denominator. ODH received a total of 1,320 questionnaires; 1,312 included responses to the question referencing Indicator 4A.
- 92.2 percent Effectively communicate their children's needs:** 1,210 respondent families participating in Part C reported that early intervention services helped them effectively communicate their children's needs divided by 1,312 respondent families participating in Part C times 100. 8 non-responses were removed from the denominator. ODH received a total of 1,320 questionnaires; 1,312 included responses to question referencing Indicator 4B.
- 91.1 percent Help their children develop and learn:** 1,194 respondent families participating in Part C reported that early intervention services helped the family help their children develop and learn divided by 1,310 respondent families participating in Part C times 100. 10 non-responses were removed from the denominator. ODH received a total of 1,320 questionnaires; 1,310 included responses to question referencing Indicator 4C.

Administration of the Questionnaire

Questionnaires were mailed to 4,504 families. In an effort to continue to improve response rates, Ohio implemented new strategies in its administration of the family questionnaires, these procedures included:

- Sending out postcards before administering the survey to remind families the questionnaire would be coming soon and to determine which addresses for families were incorrect;
- Asking local programs to instruct their service coordinators to encourage families to complete their questionnaires;

In order to improve the response rate from traditionally underrepresented populations, Ohio took the following steps:

- Translated the paper survey into Spanish and distributed the translated version to families whose primary caregiver was identified as primarily Spanish-speaking in Ohio's Part C program's data system.
- Ohio also provided multiple response options include online survey completion and telephone response.

The ODH mailed out the reminder postcards on October 19, 2011 to let families know the survey would be arriving soon. The surveys were mailed on November 10, 2011 and included a cover letter as well as a return envelope already addressed to ODH. The ODH sent an e-mail to county program administrators with a list of survey recipients in their county, instructing them to encourage families who had not yet completed the questionnaire to do so. The cover letter included with the survey highlighted the following characteristics of the questionnaire:

- Completing the survey was completely voluntary;
- Responses would remain confidential
- Individual responses would not be shared and ODH reports data only in the aggregate;
- Family feedback is highly valued; and
- The timeline to return the survey was about 4 weeks.

Response

The cover letter gave families various options for submitting the completed questionnaire, including:

- Complete the hard copy questionnaire and return it to The Ohio Department of Health by mail using an enclosed addressed, stamped envelope.
- Complete the questionnaire on the Helpline website. Upon logging into the online survey site, families were prompted to enter their child's Early Track Identification (ETID) number and then could answer the questionnaire.
- Call the HMG Helpline and respond to the questions via phone interview.

Table 1: Distribution of Questionnaire Respondents' Response Type

Response Method	N	%
Inbound Calls	16	1.2%
Mailed (Written Questionnaire)	1165	88.3%
Internet	139	10.5%
Total	1320	100.00%

Families still enrolled in the program at the time of distribution, beginning November 10, 2011 with a current Individualized Family Service Plan from the December 1, 2010 child count were identified for survey receipt. A total of 4,504 surveys were distributed. The Ohio Department of Health received 1,320 surveys for a response rate of 29.3 percent. Eighty-four of Ohio's 88 counties were represented in the responses to the Family Outcomes questionnaire.

How representative is the sample of families being reported above?

Demographic description of families who responded by race, age and sex:

Table 2: Race and Ethnic Distribution of Children Represented by Questionnaire Respondents

Race/Ethnicity	Questionnaire Respondents	
Hispanic/Latino	29	2.2%
American Indian or Alaska Native	2	0.2%
Asian	13	1.0%
Black or African American	135	10.2%
Native Hawaiian or Other Pacific Islander	0	0.0%
White	1101	83.4%
Two or More Races	40	3.0%
Total	1320	100.0%

Table 3: Age Distribution of Children Represented by Questionnaire Respondents

Age Range	N	%
0-1	2	0.2%
1-2	492	37.2%
2-3	827	62.6%
Total	1321	100.0%

Analysis of Representativeness of Response

For FFY 2010, Ohio used a census approach for questionnaire distribution. Families were eligible to be part of the questionnaire process if their family became eligible for Part C on or before December 1, 2010 and were still in the program and had a current IFSP during the distribution of questionnaires, which began on November 10, 2011. In using Ohio's most recent 618 data for comparison, Tables Five, Six and Seven display representativeness in race/ethnicity, sex and age.

Table 4: Race and Ethnicity Distribution of Questionnaire Respondents and 618 Data

Race/Ethnicity	Questionnaire Respondents		618	
	N	%	N	%
Hispanic/Latino	29	2.2%	866	5.8%
American Indian or Alaska Native	2	0.2%	13	0.1%
Asian	13	1.0%	179	1.2%
Black or African American	135	10.2%	2642	17.8%
Native Hawaiian or Other Pacific Islander	0	0.0%	11	0.1%
White	1102	83.4%	10617	71.4%
Two or More Races	40	3.0%	540	3.6%
Total	1321	100.0%	14868	100.0%

Table 5: Child Age Distribution of Questionnaire Respondents and 618 Data

Age Range	Questionnaire Respondents		618	
	N	%	N	%
0-1	2	0.2%	2639	17.7%
1-2	491	37.2%	5042	33.9%
2-3	827	62.7%	7187	48.3%
Total	1320	100.0%	14868	100.0%

Survey results are skewed for age of children. Specifically, parents with children age 2 – 3 years old are over-represented in the survey results compared to the general Part C population in Ohio, per Ohio's 618 tables. Consequently, survey respondents with children age 0 – 1 are under-represented, using the same population standard. The reasons for this disparity are due to the manner in which Ohio chose to select eligible families for the response pool. Ohio selected all families who were determined eligible for the Help Me Grow Early Intervention (Part C) program on or before December 1, 2010 and were still in the program during questionnaire distribution, which was completed in November 2011. Age of the respondents' child was calculated based on the date of survey delivery, November 10, 2011. As a result, any child falling into the 0 – 1 category would have become eligible before December 1, 2010 and still been under one year old on November 10, 2011, which represents a very small population of families. These selection criteria were chosen to assure that each family had an adequate amount of time in the program in order to inform their responses to the survey.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2010:

- A. 86.3 percent demonstrates slippage from 93 percent reported for FFY2009
- B. 92.2 percent demonstrates slippage from 95 percent reported for FFY2009
- C. 91.1 percent demonstrates slippage from 94 percent reported for FFY2009

APR Template – Part C (4)

OHIO
State

Ohio did not meet the target of 93% for any of the outcomes, experiencing slippage from FFY09. A short response timeframe and not asking service coordinators to hand deliver surveys appears to have impacted Ohio's response rate (from 40% to 29.3%). We believe the fewer respondents impacted the number of parents who responded positively to this indicator. As we continue to refine the methodology employed for the family survey, we expect to see progress in meeting and exceeding our targets.

Improvement Activities for Indicator 4	Timeline	Resources
<p>1. Continue providing and updating educational seminars for families targeting parent's rights, parent involvement in decisions for services, and parent advocacy delivered both in person and online.</p> <p>Progress Ten educational seminars were provided in FFY2010. These continue to be updated with new information and delivered in person and via webinar.</p>	Ongoing	<ul style="list-style-type: none"> ➤ ODH ➤ Family Information Network of Ohio ➤ Family Engagement committee of Ohio Family and Children First Council
<p>2. Review survey data annually & process for distribution to determine areas for continuous improvement.</p> <p>Slippage The ODH continues to implement methods which work to improve the Family Questionnaire each year. This year, the response rate decreased approximately 10%.</p>	Annually	<ul style="list-style-type: none"> ➤ HMG Evaluation committee ➤ ODH
<p>3. Revise Parents Rights brochure.</p> <p>Progress A revised Parent's Rights brochure is part of a new Early Intervention work plan at the ODH. The technical assistance team will produce an entire manual for families in EI which provides multiple ways for parent's to learn and know their rights.</p>	FFY 2011	<ul style="list-style-type: none"> ➤ ODH ➤ ICC ➤ Technical Assistance team

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010: Updated the improvement activity 1 timeline from last year because the trainings have been created and have been delivered all fiscal year and now reflect that the activity is ongoing.

Part C State Annual Performance Report (APR) for FFY 2010

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 5: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = [(# of infants and toddler birth to 1 with IFSPs) divided by the (population of infants and toddlers birth to 1)] times 100 compared to national data.

FFY	Measurable and Rigorous Target
2010	1.5% of infants and toddlers birth to age one year will have IFSPs.

Actual Target Data for FFY 2010: 1.90 percent of infants and toddlers birth to age one year had IFSPs for FFY2010. This percentage is calculated by dividing the 0 to 1 child count reported to Westat by the Ohio Department of Health (ODH) on February 1, 2010 of 2,587¹ and the 2010 population estimate of 139,042².

¹[Source: U.S. Department of Education, Office of Special Education Programs, Data Analysis System (DANS), OMB #1820-0557: "Infants and Toddlers Receiving Early Intervention Services in Accordance with Part C," 2010. Data updated as of July 15, 2011]

²[Source: U.S. Bureau of the Census. Population data for 2010 accessed October 2011 from 'http://factfinder2.census.gov/faces/nav/jsf/pages/index.xhtml'].]

The child count data for this indicator were captured via Ohio's electronic data system.

Comparing Ohio to Other States

Ohio ranks 6th nationally for this indicator, compared to 8th in FFY2009.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2010:

1.90 percent demonstrates progress from the revised 1.75 percent performance reported for FFY2009. Ohio exceeded its target of 1.5%. Exceeding the state's target can be attributed to continued emphasis on educating referral sources, examining child counts and providing strategies to local EIS programs on identifying and enrolling infants by working with Hospital-Based Regional Child Find Specialists, Universal Newborn Hearing Screening hospital clerks and Audiologists, and Physicians who have been training in developmental screening tools, timelines, and referral practices.

Improvement Activities for Indicator 5	Timeline	Resources
<p>1. Implement a statewide marketing plan in order to increase referrals to Help Me Grow, targeting but not limited to:</p> <ul style="list-style-type: none"> a. Parents and the general public; b. Birthing hospitals; c. Hospitals with NICU and/or PICU, level III hospitals; d. Physicians, clinics, WIC; e. Job and Family Services (JFS), Child Welfare agencies; f. The Hospital-Based Child-Find Program; g. Childcare providers; h. Childcare resource and referral agencies; i. Agencies representing homeless families. <p>Progress A plan for a statewide marketing campaign was finalized in FFY2010 and implementation is underway with the ODH's new Early Intervention work plan.</p>	<p>FFY 2011</p>	<ul style="list-style-type: none"> ➤ Help Me Grow 800-number ➤ ODH Public Relations ➤ County Help Me Grow Outreach ➤ ICC's Public Awareness Committee ➤ Technical Assistance Team
<p>2. Provide technical assistance to the state's hospitals with Level III NICUs which clarify what early intervention is and how it is provided with babies in the hospital.</p>	<p>Ongoing</p>	<ul style="list-style-type: none"> ➤ ICC's Public Awareness Committee ➤ Hospital-Based Regional Child-Find specialists ➤ Technical Assistance Team
<p>3 3. Implement specific training on typical and atypical development of infants and toddlers to Help Me Grow staff to increase the referral of infants less than one year of age.</p> <p>Progress Training on typical versus atypical child development is a part of the Infant Growth and Development course. The course is offered regionally and was offered three (3) times during FFY 2009 with 55 participants successfully completing the course. During this same time, we offered web-based training on the Ages and Stages Questionnaire (ASQ) and the Ages and Stages Questionnaire: Social Emotional (ASQ:SE). This course is available online with 267 participants successfully completing the course.</p>	<p>Ongoing</p>	<ul style="list-style-type: none"> ➤ HMG Advisory Council ➤ BEIS Training Staff

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010: Improvement activity 1 was updated because it was not completed and the state would still like to do a marketing and public awareness campaign. A plan was created and now the activity needs to reflect implementation of the campaign. Improvement activity 2 was updated to reflect that the state has stopped operating from program policies and has provided a grant to partially fund hospital-based regional child find specialists who work in hospitals with Level III NICUs.

APR Template – Part C (4)

OHIO
State

Technical assistance is vital to the success of these specialists and the ODH has provided higher quality at a higher frequency over the past fiscal year, even though less money was available to fund the specialists throughout the state.

Part C State Annual Performance Report (APR) for FFY 2010

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 6: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = [(# of infants and toddler birth to 3 with IFSPs) divided by the (population of infants and toddlers birth to 3)] times 100 compared to national data.

FFY	Measurable and Rigorous Target
2010	3.0% of infants and toddlers birth to age three years will have IFSPs.

Actual Target Data for FFY 2010: 3.5 percent of infants and toddlers birth to age three years had IFSPs for FFY 2010. This percentage is calculated by dividing the 0 to 3 child count reported to Westat by the Ohio Department of Health (ODH) on February 1, 2011 of 14,868¹ and the 2010 population estimate of 425,980.²

¹[Source: U.S. Department of Education, Office of Special Education Programs, Data Analysis System (DANS), OMB #1820-0557: "Infants and Toddlers Receiving Early Intervention Services in Accordance with Part C," 2010. Data updated as of July 15, 2011.]

²[Source: U.S. Bureau of the Census. Population data for 2010 accessed October 2011 from 'http://factfinder2.census.gov/faces/nav/jsf/pages/index.xhtml']

The child count data for this indicator were captured via Ohio's electronic data system.

Comparing Ohio to Other States

Ohio ranks 16th nationally for this indicator, consistent with FFY 2009.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2010: 3.5 percent demonstrates progress from the 3.2 percent reported on this indicator for FFY2009. Ohio exceeded the target of 3.0%. As mentioned in Indicator 5, the state continues to emphasize education of referral sources within each local community. Training efforts have been aimed and completed at family practice and pediatric physicians on developmental screening tools, timelines, and referral practices. Moreover, the ODH collaborated with the Ohio Department of Job and Family Services to implement a referral form which facilitates the necessary information to make a referral from a Public Children's Services Agency into Early intervention. This new process and form has increased the number of referrals received with accurate information for making the connection to EI evaluation and assessment.

Improvement Activities for Indicator 6	Timeline	Resources
<p>2. Implement a statewide marketing plan in order to increase referrals to Help Me Grow, targeting but not limited to:</p> <ul style="list-style-type: none"> a. Parents and the general public; b. Birthing hospitals; c. Hospitals with NICU and/or PICU, level III hospitals; d. Physicians, clinics, WIC; e. Job and Family Services (JFS), Child Welfare agencies; f. The Hospital-Based Child-Find Program; g. Childcare providers; h. Childcare resource and referral agencies; i. Agencies representing homeless families. <p>Progress A plan for a statewide marketing campaign was finalized in FFY2010 and implementation is underway with the ODH's new Early Intervention work plan.</p>	<p>FFY 2011</p>	<ul style="list-style-type: none"> ➤ Help Me Grow 800-number ➤ ODH Public Relations ➤ County Help Me Grow Outreach ➤ ICC's Public Awareness Committee ➤ Technical Assistance Team
<p>2. Provide technical assistance to the state's hospitals with Level III NICUs which clarify what early intervention is and how it is provided with babies in the hospital.</p>	<p>Ongoing</p>	<ul style="list-style-type: none"> ➤ ICC's Public Awareness Committee ➤ Hospital-Based Regional Child-Find specialists ➤ Technical Assistance Team
<p>3. Implement specific training on typical and atypical development of infants and toddlers to Help Me Grow staff to increase the referral of infants less than one year of age.</p> <p>Progress Training on typical versus atypical child development is a part of the Infant Growth and Development course. The course is offered regionally and was offered three (3) times during FFY 2009 with 55 participants successfully completing the course. During this same time, we offered web-based training on the Ages and Stages Questionnaire (ASQ) and the Ages and Stages Questionnaire: Social Emotional (ASQ:SE). This course is available online with 267 participants successfully completing the course.</p>	<p>Ongoing</p>	<ul style="list-style-type: none"> ➤ HMG Advisory Council ➤ BEIS Training Staff

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010: Improvement activity 1 was updated because it was not completed and the state would still like to do a marketing and public awareness campaign. A plan was created and now the activity needs to reflect implementation of the campaign. Improvement activity 2 was updated to reflect that the state has stopped operating from program policies and has provided a grant to partially fund hospital-based regional child find specialists who work in hospitals with Level III NICUs.

APR Template – Part C (4)

OHIO
State

Technical assistance is vital to the success of these Hospital-Based Regional Child Find specialists. The ODH has provided higher quality TA more often over the past fiscal year.

Part C State Annual Performance Report (APR) for FFY 2010

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 7: Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C’s 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent = [(# of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C’s 45-day timeline) divided by the (# of eligible infants and toddlers evaluated and assessed)] times 100.

Account for untimely evaluations, assessments, and initial IFSP meetings, including the reasons for delays.

FFY	Measurable and Rigorous Target
2010	<i>100% of eligible infants and toddlers with IFSPs will receive an evaluation and assessment and an initial IFSP meeting within Part C’s 45-day timeline.</i>

Actual Target Data for FFY 2010: Thirty EIS programs were scheduled to have their data for this indicator monitored for FFY 2010. Ohio used monitoring data from its data system (Early Track) to determine its percent compliance for this indicator. All children served by these EIS programs who also had a 45-day timeline that ended during the April 1, 2011 to June 30, 2011 timeframe were included in the analysis. A selection of child records was then verified to ensure accurate reporting. Of the 1,049 child records examined, 1,035 (98.7 percent) were compliant.

The 1035 child records counted as being compliant includes 160 that were non-timely due to documented extraordinary family circumstances. These 160 child records are included in the numerator and denominator.

The 14 noncompliant child records are deemed as such for the following reasons:

- 6 (43 percent) for insufficient evaluation slots
- 5 (36 percent) for data/documentation issues
- 2 (14 percent) for staff oversight/error
- 1 (7 percent) for staff scheduling/availability

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2010: Although it’s less than the target of 100%, 98.7 percent demonstrates progress from the 98 percent compliance reported for FFY 2009. The ODH continues to work on improving the results for this indicator with monthly technical assistance calls with EIS providers and compliance-related trainings, site-visits to providers, and the creation and dissemination of guidance documents. Additionally, the EIS program reported in Ohio’s FFY09 APR as not demonstrating correction of identified noncompliance for this indicator has since had correction verified.

Improvement Activities for Indicator 7	Timeline	Resources
<p>1. Continue to monitor this indicator via ODH’s web-based data system, Early Track, and on site focused monitoring visits.</p> <p>Progress ODH conducted webcasts for counties on the 45 Days compliance report and 45 Days Corrective Action Plan (CAP) log found in Early Track. Reports were designed for counties to monitor their own compliance data.</p>	<p>Ongoing</p>	<ul style="list-style-type: none"> ➤ Data and monitoring teams ➤ State partners ➤ Local partners
<p>2. ODH will provide technical assistance to counties who are identified with noncompliance in this area.</p> <p>Progress Counties identified as either noncompliant or continuing noncompliance received intensive TA.</p>	<p>Ongoing</p>	<ul style="list-style-type: none"> ➤ BEIS Technical Assistance team ➤ State partners
<p>3. Examine barriers identified by counties who are not meeting developmental evaluations and/or not completing IFSPs within the 45 day timeline.</p> <p>Progress A large stakeholder group made recommendations on Evaluation & Assessment processes through the state’s rule revision workgroup. Another stakeholder group examined the state’s criteria for eligibility and made recommendations about all processes required within the 45 day timeline.</p>	<p>Ongoing</p>	<ul style="list-style-type: none"> ➤ ODH ➤ ICC’s Service Delivery Committee
<p>4. Identify members from the Service Delivery Committee who would participate on a work group (e.g. Help Me Grow Advisory Council committee including family members) that makes recommendations on assessment for program planning process including researching approaches and tools.</p> <p>Progress This ad hoc committee was formed which made recommendations to the ICC for approval. In addition, a large stakeholder group made recommendations on Evaluation & Assessment processes through the state’s rule revision workgroup. Finally, a third group examined the state’s criteria for eligibility and made recommendations about all processes required within the 45 day timeline.</p>	<p>FFY 2011 and FFY 2012</p>	<ul style="list-style-type: none"> ➤ ICC’s Service Delivery Committee ➤ DODD

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010: No revisions made.

Part C State Annual Performance Report (APR) for FFY 2010

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Indicator 8: Percentage of toddlers exiting Part C who received timely transition planning support the child’s transition to preschool and other appropriate community services by their third birthday including:

- A. IFSPs with transition steps and services;
- B. Notification to LEA, if child potentially eligible for Part B; and
- C. Transition conference, if child potentially eligible for Part B.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

- A. Percent = [(# of children exiting Part C who have an IFSP with transition steps and services) divided by the (# of children exiting Part C)] times 100.
- B. Percent = [(# of children exiting Part C and potentially eligible for Part B where notification to the LEA occurred) divided by the (# of children exiting Part C who were potentially eligible for Part B)] times 100.
- C. Percent = [(# of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties, at least nine months prior to the toddler’s third birthday for toddlers potentially eligible for Part B) divided by the (# of toddlers with disabilities exiting Part C who were potentially eligible for Part B)] times 100.

Account for untimely transition conferences, including reasons for delays.

FFY	Measurable and Rigorous Target
FFY 2010	<ul style="list-style-type: none"> A. 100% of children exiting Part C have an IFSP with transition steps and services B. 100% of children exiting Part C and potentially eligible for Part B in which notification to the LEA occurred C. 100% of children exiting Part C and potentially eligible for Part B in which the transition conference occurred

Actual Target Data for FFY 2010:

8A. Twenty-nine EIS programs were scheduled to have their data for this indicator monitored for FFY 2010. Ohio used monitoring data from a self-assessment to determine its compliance percentage for this indicator. All children served by these scheduled EIS programs who also had a Transition Planning Conference due to occur no later than a date between April 1, 2011 and June 30, 2011 were included in the analysis for this indicator. The ODH specified the children and local EIS providers reported whether or not the child specified had transition steps and services on the child’s IFSP.

APR Template – Part C (4)

OHIO
State

A. IFSPs with transition steps and services	Number of children	Percent of children
a. Children exiting Part C whom have an IFSP with transition steps and services	256	99.6
b. Children exiting Part C whom do not have an IFSP with transition steps and services	2	0.4
TOTAL	258	100

Of the 2 noncompliant cases, one was noncompliant per local programs' self-report and the other via verification of the child's record due to a data documentation error.

8B. Ohio created a data set from reports distributed to LEAs from local Help Me Grow EI programs. Reports are generated using Ohio's statewide data system of all children turning three between February 1, 2010 and January 31, 2011 potentially eligible for Part B. When distributing these reports to the LEAs, local Help Me Grow Programs were asked to submit copies of the report to ODH as well. The reports were compiled and local and statewide compliance was calculated. Of 6651 children who were potentially eligible for Part B services within this timeframe, LEAs were notified of 6208 (93.3 percent).

B. Notification to the LEA, if child potentially eligible for Part B	Number of children	Percent of children
a. Children exiting Part C and potentially eligible for Part B for whom notification to the LEA occurred	6208	93.3
b. Children exiting Part C and potentially eligible for Part B for whom notification to the LEA did not occur	443	6.7
TOTAL	6651	100

The 443 noncompliant cases identified were concentrated within five local EI programs, all of which corrected their noncompliance with subsequent submissions of quarterly LEA reports.

8C. Twenty-nine EIS programs were scheduled to have their data for this indicator monitored for FFY 2010. Ohio used monitoring data from its data system (Early Track) to determine its percent compliance for this indicator. All children served by the scheduled EIS programs who also had a Transition Planning Conference due to occur during the April 1, 2011 to June 30, 2011 timeframe were included in the analysis. A selection of child records was then verified to ensure accurate reporting. Of the 540 child records examined, 528 (97.8 percent) were compliant.

Of the 528 child records counted as compliant, 70 were non-timely due to documented extraordinary family circumstances. These 70 records are included in the numerator and denominator.

C. Transition Planning Conferences	Number of children	Percent of children
a. Children exiting Part C and potentially eligible for Part B for whom a TPC should have occurred	528	97.8
b. Children exiting Part C and potentially eligible for Part B for whom a TPC occurred no later than 90 days before their 3 rd birthday	12	2.2
TOTAL	540	100

The 12 noncompliant child records are deemed as such for the following reasons:

- 8 (67 percent) for staff oversight/error
- 2 (17 percent) for LEA scheduling issues
- 2 (17 percent) for data/documentation errors

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2010:

- A. 99.6 percent indicates progress from the 98 percent compliance reported for FFY2009, bringing Ohio closer to the target of 100%. Ohio revised it’s IFSP training during the fiscal year and attributes the progress made on Transition Steps to the revised and improved training.
- B. Ohio fell below the target of 100% at 93.3 percent complaint, experiencing slippage from 97% reported in FFY2009. The slippage Ohio experienced in this indicator can be explained by program providers who did not understand the timelines involved in the LEA reporting. All of the non-compliance identified was concentrated in five of the eighty-eight EIS programs. Targeted technical assistance and more frequent and varied reminders to providers are planned.
- C. Although less than the target of 100%, 97.8 percent indicates improvement from 97.6 percent compliance reported for FFY2009. The TPC expectations are included in the state’s revised IFSP training. This training is mandatory for credential, which is mandatory for service coordinators. The ODH attributes the progress made on this indicator to better training and technical assistance. However, we believe we are not yet at 100% because Transition Planning Conferences still need some clarification in the state’s rule on IFSPs. The revisions have been made, but still have a six month process to be implemented.

Improvement Activities for Indicator 8	Timeline	Resources
<p>1. Maintain the mechanism which shares data between the lead agencies for Part C and Part B of IDEA in the state that documents transition.</p> <p>Progress Through an IAA, the ODH submits quarterly reports to ODE for children potentially eligible for Part B. The ODH has developed a process by which records in the data system for Part C programs are matched against birth records. Subsequently, these matched records are submitted to the ODE’s third-party vendor to generate Statewide Student Identifiers (SSIDs). The SSIDs allow for the ODE to work with LEAs regarding transition issues. The ODH and the ODE have been working together to refine the involved processed and ensure the highest rate of accurate matches and reporting possible.</p>	Ongoing	<ul style="list-style-type: none"> ➤ ODH ➤ ODE
<p>2. Provide information for families that support transition activities.</p>	Ongoing	<ul style="list-style-type: none"> ➤ ODH ➤ ODE ➤ ICC’s Transition

APR Template – Part C (4)

OHIO
State

		Committee
<p>3. Maintain the web-based tutorial for all HMG service coordinators and LEA transition representatives, specific to the IDEA regulations for Part C and Part B, HMG rule, process, and protocols in transitioning children exiting HMG at age three years to Special Education preschool and other community programs.</p> <p>Progress The training was established in FFY 2010 and continues to be a resource for training across Early Intervention and Education systems in the state.</p>	Ongoing	<ul style="list-style-type: none"> ➤ ODH ➤ ODE, contract with NCRRC ➤ ICC's Transition Committee
<p>4. Continue to monitor this indicator via ODH's web-based data system, Early Track, and on site focused monitoring visits.</p> <p>Progress ODH conducted webcasts for counties on the Transition Planning Conference compliance report and TPC Corrective Action Plan (CAP) log found in Early Track. Reports are designed for counties to monitor their compliance data.</p>	Ongoing	<ul style="list-style-type: none"> ➤ Data and monitoring teams ➤ State partners ➤ EIS providers
<p>4. ODH will provide technical assistance to counties who are identified as noncompliant in this area.</p> <p>Progress TA was provided to all counties identified as noncompliant in Transition indicators. Counties identified as noncompliant or continuing noncompliant received intensive TA until correction occurred.</p>	Ongoing	<ul style="list-style-type: none"> ➤ Technical Assistance team ➤ State partners

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010: Updated improvement activity 1 because the mechanism was established and the activity had to be revised to reflect the maintenance of the mechanism. Improvement activity 3 was updated because the training was established and now needs to be maintained.

Part C State Annual Performance Report (APR) for FFY 2010

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 9: General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement:

Percent of noncompliance corrected within one year of identification:

- a. # of findings of noncompliance.
- b. # of corrections completed as soon as possible but in no case later than one year from identification.

Percent = [(b) divided by (a)] times 100.

States are required to use the “Indicator 9 Worksheet” to report data for this indicator (see Attachment A).

FFY	Measurable and Rigorous Target
2010	<i>100% of identified findings of noncompliance are corrected as soon as possible but in no case later than one year from identification.</i>

Actual Target Data for FFY 2010: 77.3% percent of findings of noncompliance identified were corrected within one year, or 34 of 44 total findings of noncompliance issued during FFY09.

Indicator/Indicator Clusters	General Supervision System Components.	# of EIS programs issued findings in FFY2009 (7/1/09 – 6/30/10)	(a) # of EIS findings of noncompliance identified in FFY2009 (7/1/09 – 6/30/10)	# of findings of noncompliance from (a) for which correction was verified no later than 1 year from identification
1. % of infants & toddlers with IFSPs who receive EI services on their IFSPs in a timely manner	Monitoring activities: self-assessment/Local APR, data review, desk audit, on site visits or other	2	2	0
	Dispute resolution: complaints, hearings	0	0	0
2. % of infants & toddlers with IFSPs who primarily receive early	Monitoring activities: self-assessment/Local APR, data review,	0	0	0

APR Template – Part C (4)

OHIO
State

Indicator/Indicator Clusters	General Supervision System Components.	# of EIS programs issued findings in FFY2009 (7/1/09 – 6/30/10)	(a) # of EIS findings of noncompliance identified in FFY2009 (7/1/09 – 6/30/10)	# of findings of noncompliance from (a) for which correction was verified no later than 1 year from identification
intervention services in the home or community-based settings	desk audit, on site visits or other			
	Dispute resolution: complaints, hearings	0	0	0
3. % of infants & toddlers with IFSPs who demonstrate improved outcomes	Monitoring activities: self-assessment/Local APR, data review, desk audit, on site visits or other	0	0	0
	Dispute resolution: complaints, hearings	0	0	0
4. Percent of families participating in Part C who report that early intervention services have helped the family	Monitoring activities: self-assessment/Local APR, data review, desk audit, on site visits or other	0	0	0
	Dispute resolution: complaints, hearings	0	0	0
5. Percent of infants & toddlers birth to 1 with IFSPs 6. Percent of infants & toddlers birth to 3 with IFSPs	Monitoring activities: self-assessment/Local APR, data review, desk audit, on site visits or other	0	0	0
	Dispute resolution: complaints, hearings	0	0	0
7. Percent of eligible infants & toddlers with IFSPs for whom an evaluation & assessment and an initial IFSP meeting were conducted within part C's 45 day timeline	Monitoring activities: self-assessment/Local APR, data review, desk audit, on site visits or other	8	8	6
	Dispute resolution: complaints, hearings	0	0	0
8. percent of children exiting Part C who received timely transition planning	Monitoring activities: self-assessment/Local APR, data review, desk audit, on site	7	7	6

APR Template – Part C (4)

OHIO
State

Indicator/Indicator Clusters	General Supervision System Components.	# of EIS programs issued findings in FFY2009 (7/1/09 – 6/30/10)	(a) # of EIS findings of noncompliance identified in FFY2009 (7/1/09 – 6/30/10)	# of findings of noncompliance from (a) for which correction was verified no later than 1 year from identification
to support the child's transition to preschool & other appropriate community services by their 3 rd birthday including A. IFSPs with transition steps & services	visits or other			
	Dispute resolution: complaints, hearings	0	0	0
8. percent of children exiting Part C who received timely transition planning to support the child's transition to preschool & other appropriate community services by their 3 rd birthday including B. Notification to LEA, if child potentially eligible for Part B	Monitoring activities: self-assessment/Local APR, data review, desk audit, on site visits or other	1	1	1
	Dispute resolution: complaints, hearings	0	0	0
8.percent of children exiting Part C who received timely transition planning to support the child's transition to preschool & other appropriate community services by their 3 rd birthday including C. Transition Conference, if child potentially eligible for Part B infants & toddlers with IFSPs who	Monitoring activities: self-assessment/Local APR, data review, desk audit, on site visits or other	20	20	15
	Dispute resolution: complaints, hearings	0	0	0

APR Template – Part C (4)

OHIO
State

Indicator/Indicator Clusters	General Supervision System Components.	# of EIS programs issued findings in FFY2009 (7/1/09 – 6/30/10)	(a) # of EIS findings of noncompliance identified in FFY2009 (7/1/09 – 6/30/10)	# of findings of noncompliance from (a) for which correction was verified no later than 1 year from identification
receive EI services on their IFSPs in a timely manner				
Others areas of Noncompliance	Monitoring activities: self-assessment/Local APR, data review, desk audit, on site visits or other	0	0	0
	Dispute resolution: complaints, hearings	6	6	6

Correction of FFY 2009 Findings of Noncompliance Timely Corrected (corrected within one year from identification of the noncompliance):

1. Number of findings of noncompliance the State made during FFY 2010 (the period from July 1, 2009 through June 30, 2010) (Sum of Column a on the Indicator C 9 Worksheet)	44
2. Number of findings the State verified as timely corrected (corrected within one year from the date of notification to the EIS programs of the finding) (Sum of Column b on the Indicator C 9 Worksheet)	34
3. Number of findings <u>not</u> verified as corrected within one year [(1) minus (2)]	10

Correction of FFY 2009 Findings of Noncompliance Not Timely Corrected (corrected more than one year from identification of the noncompliance):

4. Number of FFY 2009 findings not timely corrected (same as the number from (3) above)	10
5. Number of findings the State has verified as corrected beyond the one-year timeline (“subsequent correction”)	8
6. Number of findings <u>not</u> yet verified as corrected [(4) minus (5)]	2

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2010: 77.3% indicates slippage from 94%. The primary factor in the observed slippage results from the ODH not issuing as many findings in FFY09 in comparison to FFY08. It is important to note that the number of findings not yet verified as corrected from FFY09 findings is

actually a decrease from what was reported in Ohio’s APR for FFY08 findings. For most methods of monitoring local programs’ compliance and performance, data from EIS programs are analyzed from a web-based data system. Self-assessment data are collected for 8A. And focused monitoring and dispute resolution related findings are the results of those particular processes. For all findings determined to have been corrected, the ODH verifies that each program was correctly implementing the specific regulatory requirements for the particular indicator as a result of completing the required actions placed upon them. Verification processes are associated with more recent data indicating compliance and the correction of each individual case of noncompliance from the original finding FFY for children who still are enrolled with the corresponding EIS program, or the completion of any/all required actions, albeit late, for each individual case of noncompliance from the original finding FFY for children who still are enrolled with the corresponding EIS program. EIS programs with findings not corrected in a timely manner will be monitored in a manner consistent with OSEP Memorandum 09-02, and will have to also have correction of noncompliance verified.

Improvement Activities for Indicator 9	Timeline	Resources
<p>1. Develop process for progressive sanctioning and/or incentives for non-correctors of non-compliance.</p> <p>Progress New program rule includes progressive sanctions for non-correction of noncompliance.</p>	Ongoing	<ul style="list-style-type: none"> ➤ ICC ➤ Monitoring team
<p>2. Review complaint information (e.g., mediations, due process hearing, investigations) to determine areas of non-compliance and identify trends.</p> <p>Progress The state’s focused monitoring system now includes complaint information during all of its desk audits before visiting a county program. Moreover, the state now regularly uses complaint information to determine, in part, when an on-site monitoring visit is necessary.</p>	Ongoing	<ul style="list-style-type: none"> ➤ Monitoring team
<p>3. Review and monitor county corrective action plans to assure correction of noncompliance areas within one year of identification of complaints.</p> <p>Progress A new process was implemented this year in order to follow up on corrective action plans in a timely and collaborative way between lead agency and local providers.</p>	Within one year of complaint	<ul style="list-style-type: none"> ➤ ODH complaint consultant & Technical Assistance team
<p>4. Provide technical assistance and/or training as needed to assure correction of noncompliance.</p> <p>Progress A new process which includes both TA and training was implemented this year.</p>	As outlined in corrective action plan	<ul style="list-style-type: none"> ➤ ODH complaint consultant & Technical Assistance team ➤ State partners
<p>5. Notify Director of Health of continued noncompliance, in order to impose sanctions as appropriate.</p> <p>Progress New program rule includes progressive sanctions for non-correction of noncompliance.</p>	As needed for Any complaints with noncompliance	<ul style="list-style-type: none"> ➤ ODH complaint consultant & Technical Assistance team

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010: No revisions made.

Part C State Annual Performance Report (APR) for FFY 2010

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 10: Percent of signed written complaints with reports issued that were resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = [(1.1(b) + 1.1(c)) divided by 1.1] times 100.

FFY	Measurable and Rigorous Target
2010	100% of signed written complaints with reports issued are resolved within 60-day timeline or a timeline extended for exceptional circumstances with respect to a particular complaint.

Actual Target Data for FFY 2010: Ohio met the target for this indicator, as 100 percent of signed written complaints were issued reports and were resolved within the 60-day timeline.

During this period, the ODH received three (3) signed written complaints. One of these three complaints was withdrawn while the remaining two (2) resulted in a written report with findings and were resolved within the 60-day required timeline.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2010: Significant progress was made during this fiscal year, moving from 25% to the target of 100% of signed written complaints being issued reports and resolved within sixty (60) calendar days. This was the first entire year since implementing a new protocol, timeline, checklist and expectations for each individual who investigates, reviews, and follows up on a written complaint.

Improvement Activities for Indicator 10	Timeline	Resources
<p>1. Monitor resolution of complaint within required timelines.</p> <p>Progress The state revised its internal timelines so that every person involved in reading, editing, and signing off on a complaint report and/or letter is aware of the timeline and how long he/she has to provide comment.</p>	Ongoing - as complaints occur	➤ ODH complaint consultant & Technical Assistance team
<p>2. Monitor activities within complaint report.</p> <p>Progress The protocols for staff follow-up include technical assistance and monitoring teams so that everyone is aware of their role in the complaint process and follow up. One year using the protocols and other tools and they appear to be working well.</p>	Ongoing - as complaints occur	➤ ODH complaint consultant & Technical Assistance team

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010: No changes made.

Part C State Annual Performance Report (APR) for FFY 2010

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 11: Percent of fully adjudicated due process hearing requests that were fully adjudicated within the applicable timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = [(3.2(a) + 3.2(b)) divided by 3.2] times 100.

FFY	Measurable and Rigorous Target
2010	100% of fully adjudicated due process hearing requests are fully adjudicated within the applicable timeline.

Actual Target Data for FFY 2010: ODH received no requests for due process hearings during this time period.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2010:

Improvement Activities for Indicator 11	Timeline	Resources
1. Initiate administrative hearing procedure as needed.	Within 30 days of receipt of request for administrative hearing	➤ ODH complaint consultant & Technical Assistance team
2. Assign Hearing Officer and conduct administrative hearing at date, time and location based on reasonable convenience of the family.		
3. Assure that family is notified of their rights in the administrative hearing process. The decision of the hearing officer is binding.		
4. Monitor for resolution within required timelines.		

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010: Updated improvement activity 1 since last year’s APR because the ODH no longer operates the EI program with policies, but rule; thus the reference to the Procedural Safeguards policy was eliminated.

Part C State Annual Performance Report (APR) for FFY 2010

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 12: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = (3.1(a) divided by 3.1) times 100.

FFY	Measurable and Rigorous Target
2010	<i>Not applicable - Ohio Part C does not use Part B due process procedures.</i>

Actual Target Data for FFY 2010: Not applicable

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2010: Not applicable

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010: Not applicable

Part C State Annual Performance Report (APR) for FFY 2010

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 13: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = [(2.1(a)(i) + 2.1(b)(i)) divided by 2.1] times 100.

FFY	Measurable and Rigorous Target
2010	90% of mediations held will result in mediation agreements.

Actual Target Data for FFY 2010: There were no mediations held in Ohio in FFY2010.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2010: Not applicable.

Improvement Activities for Indicator 13	Timeline	Resources
1. Monitor implementation of protocol for mediation requests within required timelines. Progress The state revised its internal timelines so that every person involved in coordinating and communicating the need for mediation is aware of the timeline and how long he/she has to act.	Within 30 days of receipt of request for administrative hearing	<ul style="list-style-type: none"> ➤ ODH complaint consultant & Technical Assistance team ➤ ODH legal team
2. Assign Mediation Officer and conduct mediation at date, time and location based on reasonable convenience of the family.		
3. Assure that mediation agreement is kept confidential.	Ongoing	<ul style="list-style-type: none"> ➤ ODH complaint consultant & Technical Assistance team ➤ Family/other participants
4. Monitor for implementation of mediation agreement within required timelines.	Within 60 - 90 days following mediation agreement.	<ul style="list-style-type: none"> ➤ ODH complaint consultant & Technical Assistance team

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010: The timeline for improvement activity 3 was changed from “Within 30 calendar days” to “ongoing” because the activity requires the ongoing monitoring, education, and technical assistance rather than ending when the mediation timeline ends.

Part C State Annual Performance Report (APR) for FFY 2010

Monitoring Priority: Effective General Supervision Part C / General Supervision

Indicator 14: State reported data (618 and State Performance Plan and Annual Performance Report) are timely and accurate.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: State reported data, including 618 data, State performance plan, and annual performance reports, are:

- a. Submitted on or before due dates (February 1 for child count and settings and November 1 for exiting and dispute resolution); and
- b. Accurate, including covering the correct year and following the correct measurement.

States are required to use the “Indicator 14 Data Rubric” for reporting data for this indicator (see Attachment B).

FFY	Measurable and Rigorous Target
2010	100% of State reported data, including 618 data, State performance plan, and annual performance reports, are: <ol style="list-style-type: none"> a. Submitted on or before due dates (February 1 for child count, including race and ethnicity, settings and November 1 for exiting, personnel, dispute resolution); and b. Accurate (describe mechanisms for ensuring accuracy).

Actual Target Data for FFY 2010: 100 percent of state reported data were submitted on time and accurately by Ohio as determined by using the Data Rubric for data applicable to the APR time period (July 1, 2010 – June 30, 2011), thus meeting the target for this indicator.

Discussion of Improvement Activities Completed and Explanation of Progress or Slippage that occurred for FFY 2010: 100 percent demonstrates continuation of meeting the target for timely and accurate data. The ODH continued to make revisions and improvements to its statewide electronic data system (Early Track), including improvements to the reports used at the state and local provider levels to help ensure data accuracy.

Improvement Activities for Indicator 14	Timeline	Resources
1. Continual improvement of the statewide data system (Early Track). Progress The ODH Data and IT staff continue to implement upgrades in Early Track to capture compliance and performance data for several indicators.	Ongoing	<ul style="list-style-type: none"> ➤ Data team ➤ OMIS staff
2. Continual improvement of the Early Track data reports. Progress	Ongoing	<ul style="list-style-type: none"> ➤ Data team ➤ OMIS staff ➤ EIS providers

APR Template – Part C (4)

OHIO
State

Reports have been developed in ET 3.0 with additional reports continuing to be developed ongoing as needs are identified at the state and/or local EIS provider level.		
3. Report data to Westat/OSEP by required timelines.	Ongoing	<ul style="list-style-type: none"> ➤ Data team ➤ Early Track
4. Conduct trainings for EIS providers who oversee data in Early Track to teach reporting functions which help them self-monitor data entry for accuracy and timeliness. Progress Training continues to be developed, offered, and delivered.	Ongoing	<ul style="list-style-type: none"> ➤ Data team ➤ Early Track
5. Implement various data verification strategies with counties. Progress Verified data related to compliance (i.e., transition, 45 days, Timely receipt of services as well as some demographic data).	Ongoing	<ul style="list-style-type: none"> ➤ Data team

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2010: Updated the improvement activities 1 and 2 to reflect continual improvement, replacing specific reports and improvements.