

Memo #14-4

To: (Contract Manager) Service Coordination Contract Manager and (FCFC), FCF Council Coordinator

From: Wendy Grove, Early Intervention Administrator  
Bureau for Children with Developmental and Special Health Needs

Copy: Kim Hauck, DODD, Angela-Sausser-Short, OFCF

Subject: (County) County SFY13 APR and 2014 Determination Category

Date:

The Ohio Department of Health is required to submit an Annual Performance Report (APR) to the Office of Special Education Programs (OSEP) in February each year for Early Intervention. This report includes the state's results for the federally mandated compliance and performance indicators. Once the state-level APR data are complete, county-level reports are compiled in order to provide information to each county about whether targets are met for each indicator and how their county compares to the state.

Additionally, ODH is required by 34 CFR §303.700 to issue annual determinations to each local program. Determinations are based primarily on county compliance and performance data, timely correction of noncompliance, and if applicable, other information such as that obtained through Focused Monitoring visits. The Department uses this information to place each program in one of the following categories: Meets Requirements, Needs Assistance, Needs Intervention, or Needs Substantial Intervention. 34 CFR §303.704 identifies enforcement activities associated with each of the determinations categories, identified in the table below. The Department may take additional enforcement measures as necessary, including requiring any local program to work with TA consultants to resolve issues in the problematic areas.

<b><i>Determinations Category</i></b>	<b><i>Enforcement Activities</i></b>
Meets Requirements	None
Needs Assistance	If a local program is determined as Needs Assistance for two consecutive years, the state must take one or more of the following actions: Advise programs of available sources of technical assistance to address areas on which the program needs assistance; or <ul style="list-style-type: none"><li>Identify programs as high risk grantee &amp; impose conditions on use of funds</li></ul>
Needs Intervention	If a local program is determined as Needs Intervention for three consecutive years, the state must take one or more of the following actions: <ul style="list-style-type: none"><li>Require the program to prepare or implement a corrective action plan to correct the identified area(s); or</li><li>Withhold, in whole or part, further payments to programs</li></ul>
Needs Substantial Intervention	If a local program is determined as Needs Substantial Intervention at any time, the state must take the following action: <ul style="list-style-type: none"><li>Withhold, in whole or in part, any Part C funds</li></ul>

The 2014 County Determinations used data from SFY13 which is Federal Fiscal Year 2012 (July 1, 2012 through June 30, 2013) and was reported in Ohio’s Annual Performance Report submitted in February 2014. The Ohio Department of Health has determined that (County) County (Determinations Category) in implementing the requirements of Part C IDEA.

A county’s ability to reach and exceed state targets for compliance and performance indicators reported in Ohio’s Annual Performance Report, as well as ability to correct any noncompliance in a timely manner had a positive impact on its determination. Compliance indicators include 45-Day, Timely Receipt of Services, and Transition (Transition Planning Conferences, Notification of the LEAs, and Transition Steps on IFSPs. Federal performance areas include birth-to-one and birth-to-three child counts, services provided in natural environments, family outcomes (families report that Help Me Grow helped them know their rights, be able to communicate their child’s needs, and help their child develop and learn), and child outcomes for both summary statements (children’s social-emotional skills, acquisition and use of knowledge and skills, and use of appropriate behaviors to meet their needs are observed at entry and exit of Help Me Grow to determine whether they substantially increase their rate of growth and whether they are functioning within age expectations at exit).

If the following conditions were true, a county was determined **Meets Requirements**:

- All percentages for baseline compliance indicators were 80% or above
- If applicable, the county corrected findings issued for compliance indicators in a timely manner, and had no finding that was outstanding for more than one year at any point during the fiscal year
- The county met targets in at least half of the performance indicators for which it had applicable data

If any of the above were not true, a county was placed into a lower determinations category, as described in your APR and Determinations report. In the table below, please find the number of counties in each category for 2014.

<i>Determinations Category</i>	<i>Number of Counties in Category</i>
Meets Requirements	48
Needs Assistance	40
Needs Intervention	0
Needs Substantial Intervention	0

A report containing your county’s compliance and performance data as well as determination category is attached and has been posted on the HMG website at the location below:

<http://www.helpmegrow.ohio.gov/Early%20Intervention/countycards/countycards.aspx>

Please note that these data are considered to be preliminary data, and are subject to change based upon feedback from OSEP regarding Ohio’s submitted Annual Performance Report. If you have any questions, please contact your Early Intervention Program Consultant.

Attachment: (County) County SFY13 APR and Determination Report