

Hospital Compliance Checklist Revision 2013	
Hearing Screening Requirements Rule 3701-40-02	Included in policy
3701-40-02 (A): UNHS Annual Information Update form designates a physician/audiologist OR an individual working under the supervision of a physician/audiologist with staff privileges as the hearing screening coordinator.	
3701-40-02 (B) The hearing screening protocol accurately portrays the program and includes sections B1-10 * see below for 10 criteria	
3701-40-02 (B) (3) The results of the hearing screening, type of hearing screening performed and risk factors for hearing loss, if any, are communicated to the infant's attending physician, certified nurse midwife, certified nurse practitioner or medical home.	
3701-40-02 (B) (4) Infants not passing a hearing screening and infant's not receiving a hearing screening are reported to the attending physician, certified nurse midwife, certified nurse practitioner or medical home within 48 hours of discharge .	
3701-40-02 (B) (5) The results of the hearing screening, type of hearing screening performed and risk factors for hearing loss , if any, are communicated in writing to the parent/guardian/custodian.	
3701-40-02 (B) (6) Assistance in scheduling an appointment for a hearing evaluation when the infant refers on the hearing screening is provided before hospital discharge, along with information on the importance of making and keeping the appointment and a list of audiology providers within a sixty mile radius.	
3701-40-02 (B) (7) The ODH brochure, "A sound beginning" is given to each parent/guardian/custodian prior to the infant's discharge and a signature for receipt of the brochure is obtained.	
3701-40-02 (B) (8) UNHS Annual Information Update form is sent to ODH annually or whenever a change in the coordinator, hearing clerk and/or birth clerk occurs.	
3701-40-02 (B) (9) Hearing screening forms for infants screened and missed, and objection forms are sent to the Ohio Department of Health within 10 days of screening or of discharge, if the screening did not occur.	
Objections to Hearing Screening 3701-40-04	
3701-40-04 (B) Parents, guardians or custodian of a newborn may object to a hearing screening.	
3701-40-04 (C) The hospital or birthing center documents the refusal of screening and/or a parent/guardian/custodian refusal to sign the objection form.	
3701-40-04 (D) A signed copy of the Objection form HEA 4663 is used to document parent/guardian objections and is sent to the Ohio Department of Health within 10 days .	

Qualifications of Personnel Conducting Hearing Screenings 3701-40-05	
3701-40-05 (B) Annual training of personnel performing hearing screenings include at a minimum: significance/rationale of UNHS, reasons for a non-pass result and the need for further testing, conveying information to parents/guardians/custodians in a culturally competent manner, usage of hearing screening equipment to include hands-on-training with newborns, competency evaluation, skills demonstration and written testing.	
3701-40-05 (C) Training of all personnel is completed prior to the administration of a hearing screening, documented, and made available upon request.	
Hearing Screening Methods/Equipment 3701-40-06	
3701-40-06 (A) Physiological testing is used to screen infant hearing. A hearing screening is conducted on each infant born, admitted or transferred to the hospital or free standing birthing center.	
3701-40-06 (B) Conduct a second screening on both ears if the initial screening was a non pass.	
3701-40-06 (E) Equipment is capable of giving good results, maintained in good working order and calibrated per manufacturer's guidelines.	
3701-40-06 (F) A contingency plan is in place when equipment is malfunctioning or awaiting repair/replacement.	

**Hospital Compliance Checklist- Hospital copy;
UNHS Rules revision 2013/ 12.19.13**

Rule: **3701-40-02 (B) (1-10)**

- (1)-Performing hearing screening(s) on all newborns born, admitted, or transferred

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- (2)-Performing a second hearing screening when the newborn or infant does not pass the initial screening
- (3)- Communicating results(for each ear, type of test) of the hearing screening and risk factors to the attending physician, certified nurse-midwife, certified nurse practitioner, primary care physician, or designated medical home
- (4)- Report the following categories (nonpass infants, D/c without a screening) of infants to the attending physician, certified RN midwife, certified RN practitioner, primary care physician, or designated medical home within 48 hours of discharge
- (5)-Communicating written results (for each ear, type of test) and risk factors of the newborn or infant's hearing screening to the parent, guardian, or custodian prior to discharge
- (6)-Providing the parent, guardian or custodian of a newborn that did not pass the hearing screening or did not receive a hearing screening with the following prior to discharge:
 - (a) - A referral to audiologist for hearing evaluation
 - (b) - Assistance in scheduling an appointment for hearing evaluation before discharge
 - (c) - Information on the importance of making and keeping an appointment for a hearing evaluation
 - (d) - A list of audiology facilities where newborn hearing screenings and evaluations within a sixty mile radius of the family's home
- (7)- Providing the parent, guardian, or custodian of every newborn with printed information prior to discharge including and obtaining the signature of the parent, guardian, or custodian indicating receipt of the information
- (8)-Notifying the director of the name and contact information of the individual designated as the hearing screening coordinator, the supervising physician or audiologist, the birth clerk, and the hearing clerk on an annual basis and whenever changes occur
- (9)-Reporting babies that received the hearing screening, babies who did not receive a hearing screening, of infants to the director of ODH, within ten days of initial screening, discharge, or transfer:
 - (a) - Infants who received a hearing screening and the results of the hearing screening
 - (b) - Infants who did not receive a hearing screening and the reason(s) not screened
 - (c) - Infants whose parents, guardian, or custodian objected to a hearing screening
 - (d) -Infants who were transferred to or from another hospital (include name of the hospital or facility of transfer to or from)
- (10)-Report to the director, for each infant, the name and address of the primary care physician, the certified nurse-midwife, certified nurse practitioner, or medical home where the parent will take the newborn or infant for follow-up care

Instructions on how to look up the UNHS Rules Online.

1. Go to: www.odh.ohio.gov/
2. Under "Rules" select Final
3. A page of the final rules of the Ohio Administrative Code (OAC) that relate to the Ohio Department of Health will be listed
4. Scroll down to select "Chapter 3701-40," Universal Newborn Hearing Screening for Newborns and Infants.
5. Click on 3701-40 and the OAC rules for UNHS for Newborns and Infants will be listed
6. Click on each rule number to view the individual rule